

# 2013 Ocie

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### **NATIONAL EXAMINATION PROGRAM EXAMINATION PRIORITIES FOR 2013**

*Wed, 17 May 2017 22:36:00 GMT*

1 examination priorities for 2013 february 21, 2013 i. introduction the national examination program (“nep”) is publishing its examination priorities to communicate

### **SEC | OFFICE OF COMPLIANCE INSPECTIONS AND EXAMINATIONS**

*Wed, 17 May 2017 03:59:00 GMT*

the office of compliance inspections and examinations (ocie) conducts the sec’s national exam program (nep). the nep’s mission is to protect investors, ensure ...

### **OCIE PRICE LIST - FORT KNOX**

*Mon, 15 May 2017 19:25:00 GMT*

ocie price list ...

### **FEBRUARY 22, 2013 - MORRISON & FOERSTER**

*Sun, 23 Apr 2017 23:40:00 GMT*

the staff identified several on-going risks that will be of interest to the nep staff in 2013, including: • custody and safety of client assets, particularly with ...